

Service Provider Risk Assessment

Questionnaire requested by **LEXUS OF ORANGE PARK**.

Name of Person Completing This Questionnaire	Service Provider Name	Date Completed
James Fales	AutoAlert	5 June 2023
1. Are you an employee or authorized representative of this vendor/company? Indicate the Person's Name in the comments.		Person's Name: James Fales
2. Does your company offer software applications as part of its services?		Yes
3. Is client data encrypted at rest and in transit? If not, why not?		Yes
4. Has your company experienced a data breach in the past 12 months that affected customers' personal information?		No
5. Does your company have insurance coverage for a data breach that may involve our customer information that your company acquires while doing business with us?		Yes
6. Does your company require security awareness training for all employees? If so, please answer how often it is provided in the comments section.		Yes Security Training Frequency: Quarterly training requirement
7. Does your company monitor for the effectiveness of employee security training by testing your users with simulated attacks?		Yes
8. Does your company have a process for restricting access to customer files on a need-to-know basis?		Yes
9. Do you have a written information security program?		Yes
10. Does your company conduct annual risk assessments that assess electronic, physical, and administrative information safeguards?		Yes
11. Does your company have systems in place to securely dispose of documents that have personal identifiable information on them?		Yes
12. Does your company have systems in place to restrict access to files/documents containing customers personal information to those with proper authorization?		Yes
13. Does your company have due diligence processes and procedures for vetting subcontractors, including having them sign processing agreements that are compliant with applicable federal and state laws?		Yes
14. Has your company performed penetration testing of its systems within the past 12 months?		Yes
15. Has your company conducted a vulnerability assessment of your systems within the past 6 months?		Yes
16. Does your company maintain end-of-life or unsupported operating systems or software? If so, are these systems used to manage or maintain customer		No

data?

17. Does your company regularly patch or update systems and third-party software and monitor for noncompliance?	Yes
18. Does your company have a written incident response plan in the event of a security breach?	Yes
19. Does your company require users to create complex passwords with 9 characters or greater?	No 8 characters with a complexity requirement
20. Does your company prohibit shared logins?	Yes
21. Does your company require multi-factor authentication to log into your systems?	Yes
22. Do you have an account lockout policy?	Yes

Date	Signature of Authorized Agent of Service Provider	Title
5 June 2023	James Fales	Information Security Manager

Submitted on June 5, 2023 at 12:17 PM by 136.32.2.20.