



Fields Auto Group Compliance

Service Provider Risk Assessment

Questionnaire requested by FIELDS **AUTO GROUP**

Reggie Graham

BG SmartVMA LLC

11/7/2025

Name of Person Completing This Questionnaire

Service Provider Name

Date Completed

1. Are you an employee or authorized representative of this vendor/company? Indicate the Person's Name in the comments.	☒ Yes ☐ No ☐ N/A	Person's Name: Reggie Graham
2. Does your company offer software applications as part of its services?	☒ Yes ☐ No ☐ N/A	
3. Is client data encrypted at rest and in transit? If not, why not?	☒ Yes ☐ No ☐ N/A	
4. Has your company experienced a data breach in the past 12 months that affected customers' personal information?	☐ Yes ☒ No ☐ N/A	
5. Does your company have insurance coverage for a data breach that may involve our customer information that your company acquires while doing business with us?	☒ Yes ☐ No ☐ N/A	
6. Does your company require security awareness training for all employees? If so, please answer how often it is provided in the comments section.	☒ Yes ☐ No ☐ N/A	Security Training Frequency: Monthly
7. Does your company monitor for the effectiveness of employee security training by testing your users with simulated attacks?	☒ Yes ☐ No ☐ N/A	
8. Does your company have a process for restricting access to customer files on a need-to-know basis?	☒ Yes ☐ No ☐ N/A	
9. Do you have a written information security program?	☒ Yes ☐ No ☐ N/A	
10. Does your company conduct annual risk assessments that assess electronic, physical, and administrative information safeguards?	☒ Yes ☐ No ☐ N/A	
11. Does your company have systems in place to securely dispose of documents that have personal identifiable information on them?	☒ Yes ☐ No ☐ N/A	
12. Does your company have systems in place to restrict access to files/documents containing customers personal information to those with proper authorization?	☒ Yes ☐ No ☐ N/A	



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13. Does your company have due diligence processes and procedures for vetting subcontractors, including having them sign processing agreements that are compliant with applicable federal and state laws?	☒ Yes ☐ No ☐ N/A	
14. Has your company performed penetration testing of its systems within the past 12 months?	☐ Yes ☒ No ☐ N/A	Bi-Annual Penetration Testing
15. Has your company conducted a vulnerability assessment of your systems within the past 6 months?	☒ Yes ☐ No ☐ N/A	
16. Does your company maintain end-of-life or unsupported operating systems or software? If so, are these systems used to manage or maintain customer data?	☐ Yes ☒ No ☐ N/A	
17. Does your company regularly patch or update systems and third-party software and monitor for noncompliance?	☒ Yes ☐ No ☐ N/A	
18. Does your company have a written incident response plan in the event of a security breach?	☒ Yes ☐ No ☐ N/A	
19. Does your company require users to create complex passwords with 9 characters or greater?	☒ Yes ☐ No ☐ N/A	All users of critical systems maintain complex passwords
20. Does your company prohibit shared logins?	☒ Yes ☐ No ☐ N/A	Shared logins of critical systems are prohibited
20. Does your company prohibit shared logins?	☒ Yes ☐ No ☐ N/A	Duplicate question
22. Do you have an account lockout policy?	☒ Yes ☐ No ☐ N/A	Lockout protection is enabled for all users with access to critical systems.

11/7/2025 | 8:29 AM CST

DocuSigned by:

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Director - Software Technology

Date

Signature of Authorized Agent of Service Provider

Title