



Service Provider Risk Assessment

Questionnaire requested by Porsche Jacksonville, CDJR Glenview, Lexus Jacksonville, Lexus Orange Park, Lexus Glenview, Fields CDJR/Mazda Asheville

Lori Tennant Dealemore 6-21-2023

Name of Person Completing This Questionnaire

Service Provider Name

Date Completed

1. Are you an employee or authorized representative of this vendor/company? Indicate the Person's Name in the comments.	☒ Yes ☐ No ☐ N/A	Person's Name: Lori Tennant
2. Does your company offer software applications as part of its services?	☒ Yes ☐ No ☐ N/A	Lori Tennant
3. Is client data encrypted at rest and in transit? If not, why not?	☒ Yes ☐ No ☐ N/A	Lori Tennant
4. Has your company experienced a data breach in the past 12 months that affected customers' personal information?	☐ Yes ☒ No ☐ N/A	Lori Tennant
5. Does your company have insurance coverage for a data breach that may involve our customer information that your company acquires while doing business with us?	☒ Yes ☐ No ☐ N/A	Lori Tennant
6. Does your company require security awareness training for all employees? If so, please answer how often it is provided in the comments section.	☒ Yes ☐ No ☐ N/A	Security Training Frequency: Newhire, plus annual
7. Does your company monitor for the effectiveness of employee security training by testing your users with simulated attacks?	☒ Yes ☐ No ☐ N/A	Lori Tennant
8. Does your company have a process for restricting access to customer files on a need-to-know basis?	☒ Yes ☐ No ☐ N/A	Lori Tennant
9. Do you have a written information security program?	☒ Yes ☐ No ☐ N/A	Lori Tennant
10. Does your company conduct annual risk assessments that assess electronic, physical, and administrative information safeguards?	☒ Yes ☐ No ☐ N/A	Lori Tennant
11. Does your company have systems in place to securely dispose of documents that have personal identifiable information on them?	☒ Yes ☐ No ☐ N/A	Lori Tennant
12. Does your company have systems in place to restrict access to files/documents containing customers	☒ Yes ☐ No ☐ N/A	Lori Tennant



Fields Auto Group Compliance

personal information to those with proper authorization?		
13. Does your company have due diligence processes and procedures for vetting subcontractors, including having them sign processing agreements that are compliant with applicable federal and state laws?	☒ Yes ☐ No ☐ N/A	Lori Tennant
14. Has your company performed penetration testing of its systems within the past 12 months?	☒ Yes ☐ No ☐ N/A	Lori Tennant
15. Has your company conducted a vulnerability assessment of your systems within the past 6 months?	☒ Yes ☐ No ☐ N/A	Lori Tennant
16. Does your company maintain end-of-life or unsupported operating systems or software? If so, are these systems used to manage or maintain customer data?	☐ Yes ☒ No ☒ N/A	Lori Tennant
17. Does your company regularly patch or update systems and third-party software and monitor for noncompliance?	☒ Yes ☐ No ☐ N/A	Lori Tennant
18. Does your company have a written incident response plan in the event of a security breach?	☒ Yes ☐ No ☐ N/A	Lori Tennant
19. Does your company require users to create complex passwords with 9 characters or greater?	☒ Yes ☐ No ☐ N/A	Lori Tennant
20. Does your company prohibit shared logins?	☒ Yes ☐ No ☐ N/A	Lori Tennant
20. Does your company prohibit shared logins?	☒ Yes ☐ No ☐ N/A	Lori Tennant
22. Do you have an account lockout policy?	☒ Yes ☐ No ☐ N/A	Lori Tennant

Date

Signature of Authorized Agent of Service Provider

Title